



UNITED STATES DISTRICT COURT Southern District of Indiana

Roger A. G. Sharpe, Clerk of Court

Birch Bayh Federal Building
& U.S. Courthouse, Room 105
46 East Ohio Street
Indianapolis, IN 46204
(317) 229-3700

U.S. Courthouse, Room 104
921 Ohio Street
Terre Haute, IN 47807
(812) 231-1840

Winfield K. Denton Federal Building
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101 NW Martin Luther King Blvd.
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(812) 434-6410

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121 West Spring Street
New Albany, IN 47150
(812) 542-4510

July 26, 2021

In re: *CLARK V. WALMART STORES EAST, LP*
1:20-CV-01339-JRS-DML

Dear Anna Clark and Walmart Stores East, LP:

I have been contacted by Judge James R. Sweeney II who presided over the above-mentioned case.

Judge Sweeney informed me that it has been brought to his attention that while he presided over the case he owned stock in Walmart. His ownership of stock neither affected nor impacted his decisions in this case. However, his stock ownership would have required recusal under the Code of Conduct for United States Judges, and thus, Judge Sweeney directed that I notify the parties of the conflict.

Advisory Opinion 71, from the Judicial Conference Codes of Conduct Committee, provides the following guidance for addressing disqualification that is not discovered until after a judge has participated in a case:

[A] judge should disclose to the parties the facts bearing on disqualification as soon as those facts are learned, even though that may occur after entry of the decision. The parties may then determine what relief they may seek and a court (without the disqualified judge) will decide the legal consequence, if any, arising from the participation of the disqualified judge in the entered decision.

Although Advisory Opinion 71 contemplated disqualification after a Court of Appeals oral argument, the Committee explained “[s]imilar considerations would apply when a judgment was entered in a district court by a judge and it is later learned that the judge was disqualified.”

With Advisory Opinion 71 in mind, you are invited to respond to Judge Sweeney’s disclosure of a conflict in this case. Should you wish to respond, please submit your response on or before August 23, 2021. Any response will be considered by another judge of this court without the participation of Judge Sweeney.

Sincerely,

Roger A.G. Sharpe

Roger A. G. Sharpe,
Clerk of Court